



NDTAC Conference: Federal Monitoring

June 14, 2017



**The National Evaluation and
Technical Assistance Center**
for the Education of Children and Youth
Who Are Neglected, Delinquent
or At-Risk (NDTAC)



www.neglected-delinquent.org

Agenda

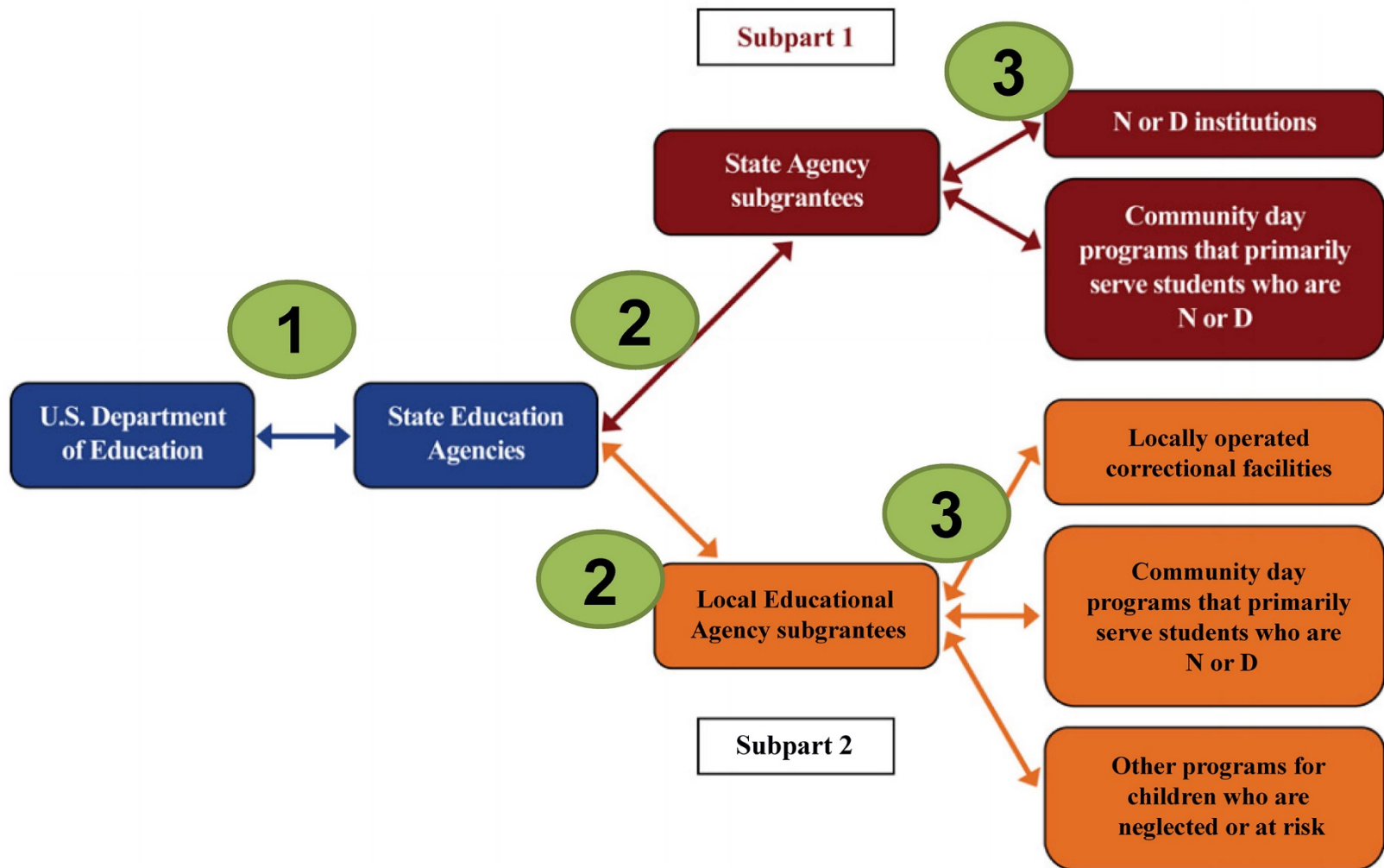
- ND coordinators' responsibilities
- Monitoring of the N&D Program
 - Office of Safe and Healthy Students (OSHS) plan
 - Findings and recommendations from 2015-2017 visits
- ND coordinator panel
- Future of monitoring for the program
- Q&A
- Small-group discussion

ND Coordinators' Responsibilities

Katie Deal, NDTAC

Levels of Monitoring and Evaluation

III-8



Title I, Part D State Coordinator's Orientation Handbook, page III-8: http://www.neglected-delinquent.org/sites/default/files/docs/NDTAC_CoordinatorsHandbook.pdf

ND Coordinator Responsibilities: Federal Monitoring

- Assess SEA program compliance
- Communicate with ED
- Review your State's past reports
- Prepare subgrantees for reviews

ND Coordinator Responsibilities: Subgrantee Monitoring

- Create monitoring protocols and guidelines
- Establish consistent cycles/schedules
- Require subgrantees not in compliance to take corrective actions
- Ensure that subgrantees are monitoring every facility/program that receives Title I, Part D (TIPD) funding

Monitoring of the N&D Program

Earl Myers, U.S. Department of Education

Purpose

- Formalizes relationship between ED and states
- Emphasizes accountability for using resources wisely
- Examines administration and implementation of TIPD programs
- Assesses extent to which States offer leadership and guidance for subgrantees in implementing policies and procedures that comply with statutes and regulations
- Ensures equal opportunity for students to obtain a high-quality education

Plan

OSHS Monitoring Plan for Neglected or Delinquent Education Programs

- Revised October 2015
- Reflects reorganization that:
 - Moved the N&D program to OSHS
 - Separated the Homeless and N&D programs

Indicators

- Determine degree to which Federal programs are implemented and administered by the SEA
- Three areas:
 - Standards, assessment, and accountability
 - Instructional support
 - Fiduciary

Indicators (continued 1)

- **Standards, Assessment, and Accountability**
 - **1.1:** SEA conducts monitoring and evaluation of subgrantees sufficient to ensure compliance with [TIPD] program requirements and progress toward Federal and State program goals and objectives. [§§1426 and 1431]

Indicators (continued 2)

- **Instructional Support**

- **2.1:** SEA ensures that State agency (SA) programs for eligible students meet all requirements, including facilities that operate institution-wide projects. [§1412(A); §1414(a) and (c), 1416]
- **2.2:** SEA ensures that local education agency (LEA) programs for eligible students meet all requirements. [§1423 and §1425]

Indicators (continued 3)

- **Fiduciary**

- **3.1:** SEA ensures that [SAs comply] with statutory and other regulatory requirements governing State administrative activities, providing fiscal oversight of the grants including reallocations and carryover, ensuring subgrantees reserve funds for transition services, demonstrating fiscal maintenance of effort and requirements to supplement, not supplant. [§1004, §1414 (c)(7), §1415(b) and §1418]

Indicators (continued 4)

- **Fiduciary**

- **3.2:** SEA ensures that [LEAs comply] with statutory and other regulatory requirements governing State administrative activities, providing fiscal oversight of the grants including reallocations and carryover, and allowable uses of funds. [§1424] [*Also OMB Circulars A-87, Part 80, Subpart C of EDGAR and any other relevant standards, circulars, or legislative mandates*]

Findings and Recommendations

- **1.1:** SEA conducts monitoring and evaluation of subgrantees sufficient to ensure compliance with [TIPD] program requirements and progress toward Federal and State program goals and objectives.
 - **1.1.1:** Describe the SEA process for monitoring Subpart 1 and 2 programs.

Findings and Recommendations (continued 1)

- **2.1:** SEA ensures that SA programs for eligible students meet all requirements, including facilities that operate institution-wide projects.
 - **2.1.1:** How does the SEA inform SAs about application requirements for a TIPD subgrant?

Findings and Recommendations (continued 2)

- **2.2:** SEA ensures that LEA programs for eligible students meet all requirements.
 - **2.2.1:** How do you inform LEAs about application requirements for a TIPD subgrant?

ND Coordinator Panel

Valerie Ashton-Thomas, Maryland

Rebecca Derenge, West Virginia

Basil Harris, South Carolina

Laura Johnson-Frame, South Dakota

The Future of Federal Monitoring

Earl Myers, U.S. Department of Education

The Future of Federal Monitoring (continued)

- Coordinate with other Office of Elementary and Secondary Education program offices for monitoring purposes.
- Review and revise monitoring process, plan, and indicators.
- Incorporate a monitoring self-assessment tool.

Questions

&

Answers

Small-Group Discussion

Discussion

- 1. What did you learn that:**
 - a. Helped to reinforce an existing idea or approach?
 - b. Might prepare you for future Federal/subgrantee monitoring?

- 2. Is there greater alignment you would like to have between the OSHS monitoring plan and:**
 - a. Your TIPD program overall?
 - b. Your subgrantee monitoring?

- 3. What actions would you like to take regarding Federal and subgrantee monitoring over the next year?**