



2012 NDTAC National Conference

Compliance and Beyond: Continuous Quality Improvement through Problem Solving
July 11-13, 2012, Annapolis, Maryland

MONITORING AND COMPLIANCE

FACILITY-SPECIFIC CHALLENGES

Overview

Session Description

In this breakout session, participants will share their experiences with ongoing facility-level challenges, including facility closings, youth demographic shifts, and/or shifting State and local policies and priorities. States will learn from each other what monitoring, budgeting, and other compliance practices they use to track Part D programs at the facility level and to address any facility-level issues. Participants will work together to refine existing practices and design new practices and resources to address these issues.

Main Issues NDTAC Has Heard

1. When a facility closes, what do we do with allocated funds and/or any Part D-funded materials (e.g., computers)?
2. What do we need to consider to successfully assist a program that is transitioning or has transitioned from a mostly D facility to an N facility and vice versa? How should we monitor for adherence to the LEA application? How should the application/plan be modified? Which officials do we speak with to ensure smooth transition of the program from D to N (or vice versa)?
3. How do we ensure that programs are using Part D funds appropriately and meeting the greatest need in a facility if the responsibility for providing education transitions from one agency to another (e.g., from DJJ to ED or vice versa)?
4. If we are monitoring for Part D but see other issues that cause us concern (e.g., related to safety, the regular program of instruction, and/or special education), how should we respond?

Session Agenda

- A. Introducing: The importance of knowing what's going on in facilities (10 minutes)
- B. Sharing: Individual State and local facility-specific issues and challenges (15 minutes)
- C. Working: Small group discussion and activity by issue type (30 minutes)
- D. Sharing: Report out on small group discussions and activities (20 minutes)

Related NDTAC Resources

- *A Guide To Meeting Compliance Requirements for the Title I, Part D, Program* (http://www.neglected-delinquent.org/nd/docs/monitoring_guide_20091111.pdf)
- *Title I, Part D, Program Administration Planning Toolkit* (<http://www.neglected-delinquent.org/nd/docs/programAdminPlanningToolkit.pdf>)